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Mark St.			App	licati	on Number	09/750,48	7
			Filir	ng Da	ite	December	27, 2000
TRANSMITTAL FORM					med Inventor	John J. G	iobbi
	orrespondence during pendency of liled application)			up A	rt Unit Number	2131	
				mine	r Name	Jenise E.	Jackson
Total Number of Pag	es in This Submission	Atto	mey	Docket Number	25000-111	07	
	ENCL	OSURES	(cl	neck	call that apply	<i>(</i>)	
Fee Transmittal	Form (in duplicate)		T		Issue Fee Transi	·	
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Response to No	tice to File Missing Part	ts			= -	s) of Figure(s) [1
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Declaration					Interferences		• •
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Application Data	Sheet				(Appeal Notice,	Brief, Reply Br	ief)
Supplemental Ir PTO/SB/08A	formation Disclosure Si	tatement &			Certified Copy of	Priority Docum	nent(s)
\omega Copie	s of IDS Cited Non-Pate	ent Referenc	es		After Allowance (Communication	to Group
Request for Cor	rected Filing Receipt						
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REMARKS: *Page co	ount does not include cit	ted reference	es.				
	SIGNAT	PE OF	ATT	ORI	NEY OR AGEN	IT.	
Signature:	Doing Ca	Aug/					
Attorney/Reg. No.:	Dorian Cartwright, Re	eg. No. 53,8	53			Dated:	3/14/0
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first class mail in an enve If the Express Mail Mailin	orrespondence, including the lope addressed to: Commis g Number is filled in below to Addressee service pure	ne enclosures i ssioner for Pat then this corre	identifi ents, F espond	ed ab P.O. B lence	ove, is being deposit ox 1450, Alexandria,	VA 22313-1450	on the date shown
Signature:	Dona le	And	-	·		-	
Typed or Printed Nam	e: Dorian Cartwrig	jht /	· · · · ·			Dated:	3/14/06
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FE TRANSMITTAL for FY 2006

Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT

(\$) 180

	Complete if Known				
Application Number	09/750,487				
Filing Date	December 27, 2000				
First Named Inventor	John J. Giobbi				
Examiner Name	Jenise E. Jackson				
Art Unit	2131				
Attorney Docket No.	25000-11107				

METHOD OF PAYME				F	EE CALCULATION (continued)		
□ Check □ Credit Card □ □ Deposit Account:	Money Order Other None	3. AE	DITION	AL FEE	S		
Deposit Account Number	19-2555	Large	Entity	Small	Entity	Fee Description	Fee Paid
Deposit Account Name	Fenwick & West LLP	Fee Code	Fee (\$)	Fee Code	Fee (\$)		
The Commissioner is author ☐ Charge fee(s) indicated ☐ Charge all required fee(s)	1051 1052 1053	130 50 130	2051 2052 1053	65 25 130	Surcharge - late filing fee or oath or declaration Surcharge - late provisional filing fee or cover sheet Non-English specification		
under 37 CFR §1.16 or § application	1812	2,520	1812	2,520	For filing a request for ex parte reexamination		
Charge fee(s) indicated the above-identified dep	1804 1805	920* 1,840*	1804 1805	920* 1,840*	Requesting publication of SIR prior to Examiner action Requesting publication of SIR after Examiner action		
FEE CA	1251	120	2251	60	Extension for reply within first month		
1. BASIC FILING FEE Large Entity Small Entity Fee Fee Fee Fee	Fee Description Fee Paid	1252 1253 1254	450 1020 1,590	2252 2253 2254	225 510 795	Extension for reply within second month Extension for reply within third month Extension for reply within fourth month	
Code (\$)		1255 1401 1402	2,160 500 500	2255 2401 2402	1,080 250 250	Extension for reply within fifth month Notice of Appeal Filing a brief in support of an appeal	
		1403 1451	1000 1,510	2403 1451	500 1,510	Request for oral hearing Petition to institute a public use proceeding	
		1452	500	2452	250	Petition to revive - unavoidable	
SUBTOT	TAL (1) (\$) 0	1453	1,500	2453	750	Petition to revive - unintentional	
2. EXTRA CLAIM FEES	FOR UTILITY AND REISSUE	1501	1,400	2501	700	Utility issue fee (or reissue)	
	ra Claims Fee from Fee Paid	1502	800	2502	400	Design issue fee	
Total Claims -20**=	x =	1503	1100	2503	550	Plant issue fee	
Independent Claims -3** =	x =	1460	_	1460		Petitions to the Director	
Multiple Dependent	=	1807	50	1807	50	Processing fee for Provisional Applications	
Large Entity Small Entity		1806	180	1806	180	Submission of Information Disclosure Stmt	180
Fee Fee Code (\$)	Fee Description	8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
1202 50 2202 25	Claims in excess of 20	1809	790	2809	395	Filing a submission after final rejection (37 CFR 1.129(a))	
1201 200 2201 100	Independent claims in excess of 3	1810	790	2810	395	For each additional invention to be examined (37 CFR 1.129(b))	
1203 360 2203 180	Multiple dependent claim, if not paid	1801	790	2801	395	Request for Continued Examination (RCE)	
1204 200 2204 100	**Reissue independent claims over original patent	1802	900	1802	900	Request for expedited examination of a design application	
1205 50 2205 25	**Reissue claims in excess of 20 and over original patent	Other f	ee (specify	y)	·		
SUBTOT	(Ψ) σ				٠	SUBTOTAL (3) (\$) 180	
or number previously paid, if g	greater; For Reissues, see above	*Reduce	ed by Basic	Filing Fe	e Paid		

SUBMITTED BY						Complete (if applicable)
Name (Print/Type)	Dorian Cartwright	10	Registration No. (Attorney/Agent)	53,853		Telephone (650) 335-7247
Signature	Dona (except	-		Date	3/4/66

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PLICANT:

John J. Giobbi

APPLICATION NO.:

09/750,487

FILING DATE:

December 27, 2000

TITLE:

Digital Rights Management System And Method

EXAMINER:

Jenise E. Jackson

GROUP ART UNIT:

2131

ATTY. DKT. NO.:

25000-11107

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Mail Stop Amendment, Commissioner For Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on

the date shown below:

Dated:

Dorian Cartwright, Reg. No.: 53,853

MAIL STOP AMENDMENT COMMISSIONER FOR PATENTS

P.O. BOX 1450

ALEXANDRIA, VA 22313-1450

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT Under 37 CFR §§ 1.56 and 1.97-98

SIR:

Pursuant to the provisions of 37 CFR §§ 1.56 and 1.97-98, enclosed herewith is modified form PTO/SB/08A listing references for consideration by the Examiner.

The filing of this Information Disclosure Statement shall not be construed as a representation regarding the completeness of the list of references, or that inclusion of a reference in this list is an admission that it is prior art or is pertinent to this application, or that a search has been made, or as an admission that the information listed is, or may be considered to be, material to patentability, or that no other material information exists, and shall not be construed as an admission against interest in any manner.

This Information Disclosure Statement is being filed:

within three months of the filing date of the application, or date of entry
into the national stage of an international application, or before the mailing
date of a first office action on the merits, whichever event last occurred;
before the mailing of a first official action after the filing of a request for

continued examination (RCE) under 37 CFR § 1.114;

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\boxtimes	after t	hree mo	onths of the filing date of this national application or the date
	of enti	ry of the	e national stage in an international application, or after the
	mailin	g date	of the first official action on the merits, whichever event last
	occurr	ed, but	before the mailing date of the first to occur of either: (1) a
	final a	ction u	nder 37 CFR §1.113; or (2) an action that otherwise closes
	prosec	ution i	n the application, and:
	\boxtimes	attac	hed hereto is the fee set forth under 37 CFR §1.17(p) for
		subm	nission of this Information Disclosure Statement under 37
		CFR	.§ 1.97(c); OR
		Appl	icant certifies pursuant to 37 CFR § 1.97(e) that:
			each item of information contained in this Information
			Disclosure Statement was first cited in a communication
			from a foreign patent office in a counterpart foreign
			application not more than three months prior to the filing of
			this Statement; OR
			no item of information contained in this Information
			Disclosure Statement was cited in a communication from a
	,		foreign patent office in a counterpart foreign application
			and, to the knowledge of the person signing this
			certification after making reasonable inquiry, no item of
			information contained in this Statement was known to any
,			individual designated under 37 CFR § 1.56(c) more than
			three months prior to the filing of this Statement;
	on or	before	the payment of the issue fee but after the mailing date of the
	first t	o occur	of either: (1) a final action under 37 CFR § 1.113; (2) a
	notice	of allo	owance under 37 CFR § 1.311; or (3) an action that otherwise
	closes	s prosec	cution in the application, and:
		Appl	icant certifies pursuant to 37 CFR. § 1.97(e) that:
			each item of information contained in this Information
			Disclosure Statement was cited in a communication from a
			foreign patent office in a counterpart foreign application not
			more than three months prior to the filing of this Statement;
			no item of information contained in this Information
			Disclosure Statement was cited in a communication from a
			foreign patent office in a counterpart foreign application
			and, to the knowledge of the person signing this

		certification after making reasonable inquiry, no item of
		information contained in this Statement was known to any
		individual designated under 37 CFR § 1.56(c) more than
		three months prior to the filing of this Statement; AND
		attached hereto is the fee set forth under 37 CFR §1.17(p) for
		submission of this Information Disclosure Statement under 37
		CFR.§ 1.97(d); OR
		after the payment of the issue fee. Applicant requests that the information
		contained in this Information Disclosure Statement be placed in the file
		according to 37 CFR § 1.97(i), although the information may not be
		considered by the USPTO.
		Enclosed is a copy of each listed reference that may be material to the
	ш	examination of this application, and for which there may be a duty to disclose.
		This application relies, under 35 U.S.C. § 120, on the earlier filing date of prior
		application No, filed on, and the references cited therein are
		hereby referenced, but are not required to be provided in this application under 37
	5 21	CFR § 1.98(d).
	\boxtimes	Copies of any foreign patent documents and non-patent literature cited herein are enclosed.
		Each item of information contained in this Information Disclosure Statement was
		cited in a communication from a foreign patent office in a counterpart application,
		and the communication was not received by any individual designated in 37 CFR
		§ 1.56(c) more than thirty days prior to the filing of this Information Disclosure
		Statement. 37 CFR § 1.704(d).
		Applicant submits that no fee is required for the consideration of this Information
		Disclosure Statement.
	Consid	deration of the listed references and favorable action are solicited.
		Respectfully submitted, JOHN J. GKOBBI
Dated:		3/14/06 By: Louis Cuting/
		Dorian Cartwright, Reg. No.: 53,853
		Fenwick & West LLP
		Silicon Valley Center 801 California Street
		Mountain View, CA 94041
	•	Tel.: (650) 335-7247
		Fax.: (650) 938-5200

<u></u>	Substitute for fo	orm 1449A	/PTO	Complete if Known				
PRACTICAL		ו חופו	CLOSURE	Application No.	09/750,487			
			PLICANT	Filing Date	December 27, 2000			
SIAI	EMENT C) I AF	PLICANT	First Named Inventor	John J. Giobbi			
			•	Art Unit	2131			
				Examiner Name	Jenise E. Jackson			
Sheet	1	of	2	Attorney Docket Number	25000-11107			

 -	U.S. PATENT DOCUMENTS							
		Document No.						
Examiner Initials*	Cite No.1	Number – Kind Code ² (if known)	Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document				
	A1	US-6,950,941	09-27-2005	Lee et al.				
	A2	US-6,806,887	10-19-2004	Chernock et al.				
	А3	US-6,804,825	10-12-2004	White et al.				
	A4	US-6,775,655	08-10-2004	Peinado et al.				
	A5	US-6,711,464	03-23-2004	Yap et al.				
	A6	US-6,683,954	01-27-2004	Searle				
	A7	US-6,667,684	12-23-2003	Waggamon et al.				
	A8	US-6,647,417	11-11-2003	Hunter et al.				
	A9	US-6,628,302	09-30-2003	White et al.				
	A10	US-6,564,380	05-13-2003	Murphy				
	A11	US-6,563,805	05-13-2003	Ma et al.				
	A12	US-6,546,418	04-08-2003	Schena et al.				
	A13	US-6,529,949	03-04-2003	Getsin et al.				
	A14	US-6,510,350	01-21-2003	Steen et al.				
	A15	US-6,480,188	11-12-2002	Horsley				
	A16	US-6,411,307	06-25-2002	Rosin et al.				
	A17	US-6,401,059	06-04-2002	Shen et al.				
	A18	US-6,397,387	05-28-2002	Rosin et al.				
	A19	US-6,392,664	05-21-2002	White et al.				
	A20	US-6,381,747	04-30-2002	Wonfor et al.				
	A21	US-2002/0015494 A1	02-07-2002	Nagai et al.				
	A22	US-6,336,142	01-01-2002	Kato et al.				
	A23	US-2002/0007456 A1	01-17-2002	Peinado et al.				
	A24	US-6,295,057	09-25-2001	Rosin et al.				
	A25	US-6,148,142	11-14-2000	Anderson				
	A26	US-6,104,334	08-15-2000	Allport				
	A27	US-6,088,730	07-11-2000	Kato et al.				

Examiner Signature	Date Considered	

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609.

Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Applicant's unique citation designation number (optional). ²See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁴Applicant is to place a check mark here if English language Translation is attached.

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A.	/ Fi	Substitute for	form 1449A	VPTO		Complete if Known	
			N DICA	CI OCUDE	Application No.	09/750,487	
1	ORMATION DISCLOSURE STATEMENT BY APPLICANT				Filing Date	December 27, 2000	
					First Named Inventor	John J. Giobbi	
					Art Unit	2131	
					Examiner Name	Jenise E. Jackson	
1	Sheet	2	of	2	Attorney Docket Number	25000-11107	

	U.S. PATENT DOCUMENTS								
		Document No.							
Examiner Initials*	Cite No.1	Number – Kind Code ² (if known)	Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document					
	A28	US-5,928,327	07-27-1999	Wang et al.					
	A29	US-5,857,020	01-05-1999	Peterson, Jr.					
	A30	US-5,666,412	09-09-1997	Handelman et al.					
	A31	US-5,619,251	04-08-1997	Kuroiwa et al.					
	A32	US-5,422,632	06-06-1995	Bucholtz et al.					
	A33	US-4,759,060	07-19-1988	Hayashi et al.					

FOREIGN PATENT DOCUMENTS							
		Foreign Patent Document					
Examiner Initials*	Cite No.1	Country Code ³ – Number ⁴ Kind Code ⁵ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	T ⁶		
•	B1	WO_05/086802 A2	09-22-2005	Margent Development, LLC			

OTHER REFERENCES - NON-PATENT LITERATURE DOCUMENTS					
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published			
	C1	ANTONOFF, Michael, "Visiting Video Valley," Sound & Vision, Pages 116 and 118-119, Nov. 2001.			
	C2	Notification of the International Search Report and Written Opinion, PCT/US04/38124, April 7, 2005, 10 pages.	<u> </u>		
••					

Examiner Signature	Date Considered	

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609.

Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Applicant's unique citation designation number (optional). ²See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.